jc

JCWSCS 0 8 OCT 2004

FROM McANDREWS, HELD, & MALLOY

(THU) 10. 7'04 15:24/ST. 15:21/NO. 4861050489 P 1



MCANDREWS, HELD & MALLOY 34TH FLOOR 500 WEST MADISON STREET CHICAGO, ILLINOIS 60661

ARO PLEASE DELIVER RETURN RECEIPT TO Kristine K. Martin

TELEPHONE: (312) 775-8000 FACSIMILE: (312) 775-8100

Certificate of Transmission under 37 CFR 1.8

CONFIDENTIAL

THE ENCLOSED MATERIAL IS INTENDED FOR THE RECIPIENT NAMED BELOW AND, UNLESS OTHERWISE EXPRESSLY INDICATED, IS CONFIDENTIAL AND PRIVILEGED INFORMATION. ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THE ENCLOSED MATERIALS IS PROHIBITED. IF YOU RECEIVE THIS TRANSMISSION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AT OUR EXPENSE, AND DESTROY THE ENCLOSED MATERIALS. YOUR COOPERATION IS APPRECIATED.

TO: U.S. Patent and Trademark Office FAX NO.: (703) 746-9195

FROM: Kevin E. Borg USER ID: 8077

CLIENT: 1917 MATTER: 14121US02

Number of Pages This Transmission (Including Cover Page): 20

I hereby certify that the attached Request for Corrected Filling Receipt; copy of Filing Receipt reflecting changes; copy of Response to Missing Parts and copy of declaration are being facsimile transmitted to the United States Patent and Trademark Office on October 1, 2004.

Kevin E. Borg Reg. No. 51,486

If you have problems receiving this facsimile transmission, please contact the sender at the above telephone number.

Attorney Docket No. 14121US02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) FACSIMILE NO. 703-746-9195
Shao-Chun Chen, et al.) Commissioner for Patents, Office of Initial) Patent Examination, Customer Service Center
Serial No.: 10/646,324)
Filed: August 22, 2003)
For: FIRMWARE UPDATE NETWORK AND PROCESS EMPLOYING PREPROCESSING TECHNIQUES)))
Group Art Unit: 2122)
Confirmation No. 9684)
Examiner: Not yet assigned) ·) ·
)

REQUEST FOR A CORRECTED FILING RECEIPT

Commissioner for Patents Office of Initial Patent Examination Customer Service Center P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request that the filing receipt for the above-identified case be corrected as follows:

In the section entitled "Applicants", please insert the following:

--Shao-Chun Chen, Aliso Viejo, California;---- James P. Gustafson, Irvine, California--

A copy of the Official Filing Receipt reflecting all changes is attached hereto. A copy of the Response to Notice to File Missing Parts and Declaration filed January 13, 2004 are also attached. Correction of this error by issuing a corrected Filing Receipt is respectfully requested.

In the event that any additional fees are required for the filing of this response, the Commissioner is hereby authorized to charge any fees which may be required as a result of filing this paper to Deposit Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

MCANDREWS, HELD & MALLOY, LTD.

Ву

Kevin E. Borg Registration No. 51,486 Agent for Applicants 34th Floor 500 West Madison Chicago, Illinois 60661 (312) 775-8000

Dated: October 7, 200

-2-

141210502



United States Patent and Trademark Office

FILING OR 371 APPL NO ART UNIT FIL FEE RECTO ATTY, DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 10/646,324 08/22/2003 2122 411 14121US02 6 24 2

CONFIRMATION NO. 9684

23446 MCANDREWS HELD & MALLOY, LTD 500 WEST MADISON STREET **SUITE 3400 CHICAGO, IL 60661**

RECEIVED NOV 1 8 2003 MCANDRENS, HELD & MALLDI FILING RECEIPT OC0000000112713431

Date Mailed: 11/14/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shao-Chun Chen, Residence Not Provided; A Liso VIEW, CALIFORNIA P. GUSTAFSON, IEVINE, CALIFORNIA

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/405,253 08/22/2002 and claims benefit of 60/415,620 10/02/2002 and claims benefit of 60/441,867 01/22/2003 and claims benefit of 60/447,977 02/18/2003

Foreign Applications

If Required, Foreign Filing License Granted: 11/13/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Firmware update network and process employing preprocessing techniques

Preliminary Class

717

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).